The Oklahoma Business Ethics Consortium



AGENDA • SEPTEMBER 10, 2014 • OKC CHAPTER UPCOMING EVENTS

I. Welcome &

Announcements Myrna Schack Latham,

General Counsel, OK Ethics; Attorney, McAfee Taft

II. Join Now!

See page 7 for information.

Kudos to our Pacesetter

companies! See page 2 for a list of Pacesetter companies!

III. Upcoming Events



Experimenting with different venues. Watch bulletins for location information.

See page 9 for more information.

Advance reservations are required for all events.

October 8

Doug Lawler CEO, Chesapeake Energy NEW LOCATION: Tower Hotel

November 12

The Moral Business Through Ethical Competency Dr. Ronald Paul Hill

Villanova School of Business Recommended for 1 CPE in Ethics

January 14

Ethics on Trial Presented by Jim Priest (Rubenstein & Pitts), Charlie Plumb (McAfee & Taft), Dr. Steve Ellis (University of Oklahoma) and former Lt. Gov. Jari Askins.

NEW LOCATION: St. Luke's United Methodist Church

Recommended for 1 CPE in Ethics

IV. Discussion Topic

Are you entitled to privacy? As a discussion topic for your group, see how many correct responses you can provide for these questions from the Texas Education website (2012) regarding HIPAA guidelines:

 Are insurance company representatives privileged to certain medical information without consent from the patient?

- In a routine pre-employment physical, is there a privacy guarantee as a physicianpatient relationship?
- 3. Does moral disapproval give a medical professional the right to breach confidentiality?
- Not only is a breach of patient confidence unethical, it is illegal. (T or F?)
- 5. Only medical information is held in strict confidentiality not the patient's personal life. (T or F?)
- 6. Can patient confidentiality be legally breached if the patient has gonorrhea?

Answers on page 5.

IV. Guiding Principle & Introduction

Ted Streuli, Editor of The Journal Record

V. Keynote

Healthy Ethical Choices

Dr. Stephen Prescott President, Oklahoma Medical Research Foundation

Did you know that 501c3, non-profit organizations can join for free at the Frontier level?

DOUG LAWLER (E0, Chesapeake Energy

HOMA

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October 8, 2014 11:30 AM-1:00 PM

Tower Hotel Oklahoma City

NEW LOCATION



Ronald Paul Hill, Ph.D.

Richard J. and Barbara Naclerio Endowed Chairholder, Villanova School of Business Recommended for 1 CPE in Ethics.

Recommended for T CPE III Ethics.

Oklahoma City:
November 12Tulsa:
November 13
11:30AM-1:00PMThe Petroleum ClubDoubletree Hotel

REMINDER: PLEASE PICK UP CPE'S AT CONCLUSION OF EVENT.

Vision: To be recognized as a statewide and national forum for promoting business ethics.



Kudos to our Pacesetter companies:

We appreciate these companies that have renewed or upgraded their commitment to OK Ethics for FY14-15.

Pinnacle

- Devon
- Hobby Lobby

Navigator

- Linde Process Plants, Inc.
- WPX Energy

Star

- American Fidelity Assurance Company
- Hertz
- Pelco Products
- PricewaterhouseCoopers LLP

Horizon

- Bank 2
- Cherokee Nation Businesses
- Eide Bailly, LLP
- Metro Technology Centers
- Nextep
- OBU College of Graduate & Professional Studies
- Public Strategies
- Rose State College
- University of Central Oklahoma - College of Business

Leading

- Arvest Bank
- BancFirst
- Bank of Oklahoma
- Brainerd Chemical
- Explorer Pipeline Company
- Finley & Cook PLLC
- GableGotwals
- Heritage Trust Company
- Leader Communications, Inc.
- McDaniel Technical Services, Inc
- Parker + Lynch
- Principal Technologies
- Retirement Investment Advisors
- Strata Leadership (formerly Character First)
- Tulsa Technology Center
- University of Tulsa

Trailblazer

- AAR Aircraft Services-Oklahoma
- Capitol Abstract & Title Co.
- Coherent Contracts, LLC
- COMTECH DPM
- D.R. Payne & Associates, Inc.

- First National Bank and Trust Co.
- Mustang Fuel
- Warren Consulting

Frontier

- Cameron University
- Dove Science Academy
- Duncan Oil Properties (Walter Duncan, Inc)
- Epworth Villa
- Girl Scouts of Eastern Oklahoma
- Grant Thornton LLP
- Gray, Blodgett & Company, PLLC
- Greater Oklahoma City Chamber
- MidFirst Bank
- NewView Oklahoma, Inc.
- OGE Energy Corp.
- SALLT and Light Leadership Training Inc.
- University of Oklahoma, College of Arts & Sciences

REMINDER: PLEASE PICK UP CPE'S AT CONCLUSION OF EVENT.

VOLUNTEER APPRECIATION:

OK Ethics relies primarily on volunteers to achieve the organization's successful pursuit of Oklahoma's values of integrity at work. It takes leadership and teamwork to host these exciting events and we salute your dedication in achieving OK Ethics' mission! Listed below are today's volunteers who consistently provide service to our members:

AGENDAS:

Many thanks to the volunteers from **Metro Technology Centers** who provide our monthly agendas.

AMBASSADOR TEAM:

These friendly people welcome our guests each month and assist in helping them locate seats. They also arrive early to help distribute agendas and assist with name tags.

| Daniel Yunker | Kimray, Inc. | Team 1 Leader |
|------------------|--|---------------|
| Brent Martens | Accounting Principals | Team 2 Leader |
| John Burnett | Kimray, Inc. | Ambassador |
| Thad Chance | Accounting Principals | Ambassador |
| Sandra Nettleton | Oklahoma Sports and Orthopedics Institute | Ambassador |

REGISTRATION TEAM:

These dependable individuals diligently record our guests' attendance and handle the collection of fees:

| Mark Neumeister, CPA | D. R. Payne & Associates | Registration Chairperson |
|----------------------|--------------------------|--------------------------------|
| Mary Kay Huggard | Principal Technologies | Cash & Visitors |
| Marvinette Ponder | Devon Energy | Pre-paid Registration Co-Chair |
| Joe Walker | BKD, LLP | Pre-paid Registration Co-Chair |

SPECIAL INITIATIVES:

| Susan Pate | Stinnett & Associates | Accountant |
|---------------|-----------------------|------------------------------|
| Jamie O'Brien | Eide Bailly, LLP | Facilities & Logistics Chair |

OTHER INITIATIVES:

| Jalisha Petties* | OK Ethics | Member Care Coordinator |
|------------------|--------------------------|------------------------------|
| Factor 110* | | Name Tags and Guest Services |
| Sue Miller* | Accel Financial Staffing | E-notices |
| | | |

*Paid Service Provider

JOIN THE TEAM:

Want to be part of the recruiting team that helps OK Ethics flourish? Talk to these leaders below:

 Join the Ambassador team! Contact Co-Chairs Brent Martens at brent.martens@accountingprincipals.com or Daniel Yunker at dyunker@kimray.com. Volunteers arrive early to distribute agendas and assist guests in locating seats.





About our presenter:

Stephen Prescott, MD, joined the Oklahoma Medical Research Foundation in 2006, becoming OMRF's ninth president since OMRF was chartered in 1946. A leader in studies of the basic mechanisms of human disease, Prescott came to OMRF from the University of Utah, where he founded the Eccles Program in Human and Molecular Biology & Genetics and served as the executive director of the Huntsman Cancer Institute, an NCI-designated cancer center.

At OMRF, Prescott has led and raised more than \$90 million to fund the largest campus expansion in the foundation's history. The centerpiece of this growth is OMRF's research tower, a 186,000-square-foot facility that has earned gold-level LEED certification. In the tower, physicians in OMRF's Multiple Sclerosis Center of Excellence treat more than 2,000 MS patients while exploring new avenues of clinical research. The MS Center is part of OMRF's Autoimmune Disease Institute, recognized by the National Institutes of Health as one of only seven Autoimmunity Centers of Excellence in the U.S. Under Prescott's leadership, OMRF has earned eight consecutive four-star ratingsthe highest possible-from Charity Navigator, the nation's leading nonprofit evaluator. During this time, The Scientist magazine has also named OMRF as one of its "Best Places

REMINDER: PLEASE PICK UP CPE'S AT CONCLUSION OF EVENT.

HEALTHY CHOICES

Presented by **Dr. Stephen Prescott** President, OMRF

to Work" in academia and for post-doctoral fellows for three straight years.

A native of Texas and an undergraduate at Texas A&M University, Prescott received his MD from the Baylor College of Medicine prior to completing his training in internal medicine at the University of Utah. After advanced research training at Washington University School of Medicine (St. Louis), he joined the faculty of the University of Utah, where he became a professor of internal medicine and held the H.A. & Edna Benning Presidential Endowed Chair. He has authored more than 250 scientific articles and has trained more than 40 research students and postdoctoral fellows.

Prescott served as a senior editor of the Journal of Biological Chemistry and Journal of Clinical Investigation and on advisory committees for the National Institutes of Health, the American Heart Association, the American Cancer Society, and multiple universities. He has been elected to the American Association for the Advancement of Science, the Association of American Physicians, the American Society for Clinical Investigation, the Royal College of Physicians in Ireland, and the Royal Academy of Medicine in Spain. He has received numerous scientific awards, consulted for and served on the boards of numerous pharmaceutical and biotechnology companies in the U.S. and abroad, and is the founder of LineaGen, a biotechnology company.

GENERAL PROGRAM DISCLAIMERS:

LEGAL: Members of the Oklahoma Business Ethics Consortium frequently share information concerning various issues and developments that may have legal implications. The discussions, commentary, and handouts at Consortium meetings or presentations to other organizations are for general informational purposes only. They cover only some aspects of the subject topic, and do not constitute a complete legal analysis of the topic or how it might apply to any particular set of facts. Before taking any action based on information presented during a Consortium event, participants are encouraged to consult a qualified attorney.

CPE'S: From time to time, Continuing Professional Education credits are offered. Because OK Ethics relies heavily on volunteers to provide these, we do not have the manpower to send certificates after the program is completed.

REMINDER: PLEASE PICK UP CPE'S AT CONCLUSION OF EVENT.

PHOTOGRAPHY: Occasionally, photos of the event are made and these may be posted on the OK Ethics website or Facebook page. By attending the program, participants tacitly understand and agree to this process. If preferences are otherwise, please notify us at okethics@yahoo.com or call (405) 889-0498 and we will be happy to comply with your wishes.

PRESENTATION STANDARDS:

The observations and comments of presenters at Consortium meetings and networking are the views and opinions of the presenter and do not constitute the opinion or policies of the Consortium or any of its members. Presenters are respectfully requested to honor OK Ethics Guiding Principles as well as avoid profanity, preaching, politics, put-downs and self-promotion during their lectures. To ensure accountability, participants are invited to evaluate each program according to these and other standards.

Recommended for 1 CPE in Ethics*

*Program is designed at the basic level and is suitable for anyone aspiring to or currently holding a leadership position. Note that it is up to the individual attendee to demonstrate relevance to his/her own area of practice. OK Ethics makes no guarantees

PROGRAM OVERVIEW:

Everyone will either need a doctor at some point or, at the very least, will benefit from the research that provides for healing medications. Dr. Prescott will explain the ethical basis for those pesky privacy laws giving headaches for HR pros, then discussing the big-picture ethical issues affecting physicians duties to their patients.

- 1. The ethical, legal, and regulatory imperative to maintain the privacy of health information.
- 2. The ethical and legal basis for consent-to-treat, and the differences for clinical research (analogy to private property rights).
- 3. The ethical basis for prohibiting physicians from participating in lethal injection (death penalty) and assisted suicide.

Quiz Answers from Page 1

Respect for individuals is a cornerstone of nearly all ethical theories. Understanding that concept makes it easier to understand the privacy requirements behind the HIPAA laws. This can be a tricky area and the answers below do not constitute a legal opinion. It is always better to seek more indepth answers from your company's legal counsel before proceeding. Below are responses based on the excerpts based on the Texas Education website. (http://www.texashste.com/documents/curriculum/health_science/hipaa.pdf)

1. No

- 2. Pretty tricky. Consult your attorney, but the Texas Education site indicates there is no guarantee of privacy under these circumstances.
- 3. No
- 4. True
- 5. False
- 6. Yes, but again consult your attorney for more definitive explanations.

Like us on

Facebook.





Visit okethics.org for resources, videos, articles and to see who's who.



Are You Normal?

Warren Martin is available to do meaningful, on-site ethics training based on his popular presentation to our OK Ethics chapters. Visit OK Ethics website to see a video of these entertaining and informative past presentations.

Contact Shannon Warren at warrenokla@cox.net if you are interested in learning more about costs and opportunities.

GUIDING PRINCIPLES Adopted July, 2004

To ensure that the Consortium fosters positive characteristics of integrity in the successful achievement of its goals, these Guiding Principles were discussed and adopted (with revisions) during a milestone planning session held by Consortium leaders in Stroud on June 18, 2004. These principles were developed based on the Character First's values and philosophies.

RESPONSIBILITY TO SELF AND OTHERS

Collaboration

integrity

Service

- Encouraging the promotion of actions and
- Sharing ideas and resources
- Responsibility and accountability for fulfilling the mission of the Consortium.
- Passion for promoting ethics and Achievement of common goals through the promotion of ethical, mutually beneficial relationships
- ethical behavior through personal Service to the Consortium over promotion of self-interest
 - · Cooperation emphasized over competition in promoting ethical business conduct
 - Members collaborate by being constructively engaged in discussions regarding ethics
 - Seeking consensus in interactive discussions regarding ethical matters.
- Members may become aware of confidential information shared by others in an effort to determine an ethical course of action. We ask members to be sensitive in recognizing and respecting the efforts made toward achieving ethical behavior. In that vein, public disclosure of this information is discouraged.
- We respect other members and the process by:
 - Exhibiting listening skills and actively listening to discussions
 - Being open to other points of view and outcomes
- We are an inclusive organization and demonstrate this by welcoming members who are in different stages of learning as applied to ethical behavior.

LEAD WITH INTEGRITY

Dependability

 Members are asked to demonstrate their support of this initiative by consistently attending meetings.

Initiative

- Recruiting other members who have demonstrated a desire to promote ethical behavior in their
- organizations. Recognizing what needs to be done to help promote the Mission of the Consortium and taking action to assist in that effort.

Honor

 Members are asked to honor the Consortium through the practice of integrity and ethical behavior in their business dealings.

Respect

- We express gratefulness to our hosts, sponsors and speakers; as well as to those whose volunteer service makes OK Ethics a stronger organization.
- · Realizing that each of us is in a mode of continual learning, we demonstrate humility, care and compassion when sharing our thoughts and knowledge.

Courage

• Speak the truth with confidence and encourage others to do the same.

INSPIRE TRUST

We serve and promote the cause of truth with integrity, objectivity and fairness to all persons.

- We hold ourselves accountable by consistently honoring our word.
- We extend trust abundantly to those who have earned it.
- Trust, once earned, will not be taken for granted, manipulated or abused.

Visit okethics.org for resources, videos, articles and to see who's who.







OK ETHICS 2014–2015 MEMBERSHIP DUES & BENEFITS

Please note that dues are effective during the fiscal year that begins October 1, 2014 and ends September 30, 2015. OK Ethics does not typically prorate dues, except during the last quarter and only by special permission from the OK Ethics Board and/or Founder. Occasionally, OK Ethics may offer workshops or programs that are not necessarily included in the price schedule below.

Pinnacle Membership Dues \$10,000

REGULAR OPTION: This is the highest level of participation. Includes *sixteen pre-paid memberships* with *premium seating* for every regular monthly program as well as *in the annual OK Ethics Awards banquet to be held May 1 and features keynote speaker, ESPN's Stephen Howard.*

ENHANCED OPTION: This level includes an option for the company to allow up to eight additional seats (or fewer as specified) to be used by students who are involved in ethics initiatives on their campuses. This is more than the sixteen reservations per month for the seats reserved for the company's members. Any empty corporate seats will be filled first by company representatives before extras will be donated for students to use. As a convenience, if your company exceeds the regular allotment of sixteen guests per meeting including students, OK Ethics will bill your company for additional attendees at the end of the fiscal year (September 30, 2015).

MEMBER BENEFITS:

- *Reserved premium seating* defined as tables closest to the podium.
- Includes up to *20 seats at special workshops, exclusive dinners/breakfasts with speakers or bonus programs* as offered per year. (Note: Does not include additional seats at OK Ethics Awards.)
- Inclusion in the annual OK Ethics Awards banquet.
- Special commemorative award presented to your company acknowledging your meaningful support of OK Ethics' initiatives.
- *Logos featured on table signs* at monthly events.
- Company logo will be prominently featured on the revised Home Page and "Who's Who" of OK Ethics website and customary communiqués, including meeting notices and agendas.
- Funds earmarked in your company's name to support university and college initiatives including an opportunity to support Oklahoma's winning teams in the Regional

Ethics Bowl Regional Competition in San Antonio. Your logo will be prominently featured in communiques promoting events indicating your organization's major sponsorship of these student initiatives.

Navigator Membership Dues \$8,000

REGULAR OPTION: Includes *sixteen prepaid memberships* for participation in every regular chapter activity, including *the annual OK Ethics Awards banquet to be held May 1 and features keynote speaker, ESPN's Stephen Howard.*

ENHANCED OPTION: This level includes an option for the company to allow up to eight additional seats (or fewer as specified) to be used by students who are involved in ethics initiatives on their campuses. This is more than the sixteen reservations per month for the seats reserved for the company's members. Any empty corporate seats will be filled first by company representatives before extras will be donated for students to use. As a convenience, if your company exceeds the regular allotment of sixteen guests per meeting including students, OK Ethics will bill your company for additional attendees at the end of the fiscal year (September 30, 2015).

MEMBER BENEFITS:

- Company logo will be prominently featured on the revised Home Page and "Who's Who" of OK Ethics website and customary communiqués, including meeting notices and agendas.
- Funds earmarked in your company's name to support university and college initiatives including an opportunity to support Oklahoma's winning teams participate in the Regional Ethics Bowl Regional Competition in San Antonio. Your logo will be prominently featured in communiques promoting events indicating your organization's major sponsorship of these student initiatives.
- Crystal obelisk presented to your company acknowledging your meaningful support of *OK Ethics' initiatives.*

Oklahoma Star Membership Dues \$5,500

REGULAR OPTION: A prominent level of involvement that includes *eight pre-paid seats at special reserved tables* for participation in every regular chapter activity. *Inclusion in the annual OK Ethics Awards banquet.*

Please note that each participant must continue to make reservations for each meeting in order for volunteers to prepare name tags and provide accurate head counts to our banquet facilities.

ENHANCED OPTION: This level includes an option for the company to allow up to *four additional seats* (or fewer as specified) to be used by students who are involved in ethics initiatives on their campuses. This is more than the eight reservations per month for the seats reserved for the company's members. Any empty corporate seats will be filled first by company representatives before extras will be donated for students to use. As a convenience, if your company exceeds the regular allotment of eight guests per meeting including students, OK Ethics will bill your company for additional attendees at the end of the fiscal year (September 30, 2015).

MEMBER BENEFITS:

- Featured in "Who's Who" of OK Ethics website as well as routine communiqués, including meeting notices and agendas.
- Company will be routinely highlighted on the home page of the OK Ethics website.
- Funds earmarked in your company's name to support university and college initiatives including Oklahoma's winning teams that participate in the Regional Ethics Bowl Competition held in San Antonio. Your logo will be prominently featured in communiques promoting events indicating your organization's major sponsorship of these student initiatives.
- Special crystal star obelisk presented to your company acknowledging your meaningful support of OK Ethics' initiatives.

Horizon Membership

Dues \$3,500

Includes *eight pre-paid memberships* for participation in most chapter activities with the *exception of the OK Ethics Awards banquet* and special bonus workshops.

Please note that each participant must continue to make reservations for each meeting in order for volunteers to prepare name tags and provide accurate head counts to our banquet facilities.

- Convenience of reserved seating for all programs, except the annual OK Ethics Awards event.
- Featured in "Who's Who" page on OK Ethics website.
- Special plaque presented to your company acknowledging your meaningful support of OK Ethics' initiatives.

Leading Membership Dues \$1,500

Includes two pre-paid memberships for participation in all chapter activities except bonus workshops.

- Attendance at the annual OK Ethics Awards event and the special tenth anniversary gala.
- Featured in "Who's Who" of OK Ethics website.

Trailblazer Membership Dues \$500

Basic pre-paid membership level. Includes one pre-paid membership for participation in all regular chapter activities, *except special bonus workshops and the annual OK Ethics Awards*.

- Mentioned in "Who's Who" on OK Ethics website.
- Consider pledging an additional \$100 to support the OK Ethics Foundation activities on school campuses. We will feature your logo in publications related to that endeavor so the academic advisors and their students are aware of your company's support for these important initiatives. To pledge your support, go to the Who's Who page of the OK Ethics website and click on Student Initiatives.

Frontier Membership Dues \$400

Eight members from your company will receive discounts on meals for participation in most chapter activities. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members.

Note that this category may exclude discounts for the annual OK Ethics Awards and special bonus workshops. (See Horizon or Star membership categories for upgrades.) Consider pledging an additional \$100 to support the OK Ethics Foundation activities on school campuses. We will feature your logo in publications related to that endeavor so the academic advisors and their students are aware of your company's support for these important initiatives. To pledge your support, go to the Who's Who page of the OK Ethics website and click on Student Initiatives.

Non-Profit Membership Dues \$0

As a special service to the community, OK Ethics offers free Frontier memberships to non-profit/non-government organizations and staff. The only thing we ask in return is that you help us by promoting our organization to your members. See website for details. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members.

Eight members from your organization will receive discounts on meals for participation in most chapter activities. Note that this category may exclude discounts for the annual OK Ethics Awards and special bonus workshops. (See Horizon or Star membership categories for upgrades.)

• Mentioned in "Who's Who" of OK Ethics website. Consider pledging an additional \$100 to support the OK Ethics Foundation activities on school campuses. We will feature your logo in publications related to that endeavor so the academic advisors and their students are aware of your company's support for these important initiatives. To pledge your support, go to the Who's Who page of the OK Ethics website and click on Student Initiatives.

Scout Membership Dues \$100

Individual receives discounts on meals for participation in most chapter activities. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members.

Consider pledging an additional \$100 to support the OK Ethics Foundation activities on school campuses. We will feature your logo in publications related to that endeavor so the academic advisors and their students are aware of your company's support for these important initiatives. To pledge your support, go to the Who's Who page of the OK Ethics website and click on Student Initiatives.

Note that this category may exclude discounts for the annual OK Ethics Awards and special bonus workshops.

Retiree Membership Dues \$25

In recognition for the valuable volunteer services provided by our retirees, OK Ethics offers a discount to retirees who are actively involved in our activities.

- Individual receives discounts on meals for participation in most chapter activities. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members.
- Note that this category may exclude discounts for the annual OK Ethics Awards and special bonus workshops.

Transitional Membership Dues \$0

As a special service to the community during these challenging economic times, OK Ethics will offer a free Scout membership to anyone who has been laid off from a professional capacity position. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members.

Please note that our primary purpose remains a discussion of ethical behavior in the workplace. Although networking is an additional benefit of these discussions, guests are asked to refrain from imposing job requests or resumes on other participants unless invited to do so.

Student Membership Dues \$0

Students with member schools may join for free. Unless otherwise specified, event costs are typically set at \$30 for members and \$45 for non-members. For more information, consult your campus' advisor as indicated under the Who's Who tab, Student Chapters page of the OK Ethics website. Others may join at the Scout level.

HELP GET THE WORD OUT: PLEASE POST THIS ON YOUR COMPANY'S BULLETIN BOARD

UPCOMING OKC EVENTS



OKLAHOMA BUSINESS ETHICS CONSORTIUM • SEE ALL UPCOMING EVENTS AND DESCRIPTIONS AT OKETHICS.ORG.